
**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

14

Attorney Docket Number 693243-76 (UCD-1120)

**ENCLOSURES (check all that apply)**

|                                                                                         |                                                                                         |                                                                                               |
|-----------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Fee Transmittal Form                                | <input checked="" type="checkbox"/> Assignment Papers<br>(for an Application)           | <input type="checkbox"/> After Allowance Communication to Group                               |
| <input checked="" type="checkbox"/> Fee Attached                                        | <input type="checkbox"/> Drawing(s)                                                     | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences           |
| <input type="checkbox"/> Amendment/Reply                                                | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final                                                    | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Proprietary Information                                              |
| <input checked="" type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter                                                        |
| <input type="checkbox"/> Extension of Time Request                                      | <input type="checkbox"/> Terminal Disclaimer                                            | <input checked="" type="checkbox"/> Other Enclosure(s)<br>(please identify below):            |
| <input type="checkbox"/> Express Abandonment Request                                    | <input type="checkbox"/> Request for Refund                                             | 1. Copy of Notice to File Missing Parts<br>2. Return postcard                                 |
| <input type="checkbox"/> Information Disclosure Statement                               | <input type="checkbox"/> CD, Number of CD(s) _____                                      |                                                                                               |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                         | Remarks                                                                                 |                                                                                               |
| <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application   |                                                                                         |                                                                                               |
| <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |                                                                                         |                                                                                               |

 Customer Number or Bar Code Label

29585

(Insert Customer No. or Attach bar code label here)

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1896. A duplicate copy of this sheet is enclosed.

Dated: March 27, 2002

Respectfully submitted,

GRAY CARY WARE &amp; FREIDENRICH LLP

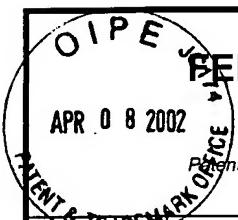
By:

Nan Wu  
Reg. No. 43,360  
Attorneys for Applicant(s)  
139 Townsend Street, Suite 400  
San Francisco, CA 94107-1922  
Telephone No. (415) 836-2500

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231 on this date: March 27, 2002

|                       |                            |      |                |
|-----------------------|----------------------------|------|----------------|
| Typed or printed name | Ta-Tanisha L. Moore        |      |                |
| Signature             | <i>Ta-Tanisha L. Moore</i> | Date | March 27, 2002 |


**FEET TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT**

(\$105.00)

| <b>Complete if Known</b> |                      |
|--------------------------|----------------------|
| Application Number       | 10/075,718           |
| Filing Date              | February 12, 2002    |
| First Named Inventor     | Allan Y. Chen        |
| Examiner Name            | Unknown              |
| Group Art Unit           | 1619                 |
| Attorney Docket No.      | 693243-76 (UCD-1120) |

**METHOD OF PAYMENT**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **07-1896**  
Deposit Account Name **Gray Cary Ware & Freidenrich LLP**

- Charge Any additional Fee Required Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

- Two (2) checks totaling the amount of \$105.00. **\$65 & \$40**

**FEES CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 101      | 740      | 201      | 370      | Utility filing fee     |          |
| 106      | 330      | 206      | 165      | Design filing fee      |          |
| 107      | 510      | 207      | 255      | Plant filing fee       |          |
| 108      | 740      | 208      | 370      | Reissue filing fee     |          |
| 114      | 160      | 214      | 80       | Provisional filing fee |          |

**SUBTOTAL (1) (\$)****2. EXTRA CLAIM FEES**

|                    |    | Extra Claims | Fee from below | Fee Paid |
|--------------------|----|--------------|----------------|----------|
| Total Claims       | 48 | -20** =      | 28 X 18        | = 0.00   |
| Independent Claims | 1  | -3** =       | 0 X 84         | = 0.00   |
| Multiple Dependent |    | X 280        |                |          |

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description                                            |
|----------|----------|----------|----------|------------------------------------------------------------|
| 103      | 18       | 203      | 9        | Claims in excess of 20                                     |
| 102      | 84       | 202      | 42       | Independent claims in excess of 3                          |
| 104      | 280      | 204      | 140      | Multiple dependent claim, if not paid                      |
| 109      | 80       | 209      | 42       | ** Reissue independent claims over original patent         |
| 110      | 18       | 210      | 9        | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2) (\$)**

\*\*or number previously paid, if greater; For Reissues, see above

**3. ADDITIONAL FEES**

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description                                                            | Fee Paid |
|-----------------------|-----------------------|----------|----------|----------------------------------------------------------------------------|----------|
| 105                   | 130                   | 205      | 65       | Surcharge - late filing fee or oath                                        | 65.00    |
| 127                   | 50                    | 227      | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                   | 130                   | 139      | 130      | Non-English specification                                                  |          |
| 147                   | 2,520                 | 147      | 2,520    | For filing a request for ex parte reexamination                            |          |
| 112                   | 920*                  | 112      | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113                   | 1,840*                | 113      | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115                   | 110                   | 215      | 55       | Extension for reply within first month                                     |          |
| 116                   | 400                   | 216      | 200      | Extension for reply within second month                                    |          |
| 117                   | 920                   | 217      | 460      | Extension for reply within third month                                     |          |
| 118                   | 1,440                 | 218      | 720      | Extension for reply within fourth month                                    |          |
| 128                   | 1,960                 | 228      | 980      | Extension for reply within fifth month                                     |          |
| 119                   | 320                   | 219      | 160      | Notice of Appeal                                                           |          |
| 120                   | 320                   | 220      | 160      | Filing a brief in support of an appeal                                     |          |
| 121                   | 280                   | 221      | 140      | Request for oral hearing                                                   |          |
| 138                   | 1,510                 | 138      | 1,510    | Petition to institute a public use proceeding                              |          |
| 140                   | 110                   | 240      | 55       | Petition to revive – unavoidable                                           |          |
| 141                   | 1,280                 | 241      | 640      | Petition to revive – unintentional                                         |          |
| 142                   | 1,280                 | 242      | 640      | Utility issue fee (or reissue)                                             |          |
| 143                   | 460                   | 243      | 230      | Design issue fee                                                           |          |
| 144                   | 620                   | 244      | 310      | Plant issue fee                                                            |          |
| 122                   | 130                   | 122      | 130      | Petitions to the Commissioner                                              |          |
| 123                   | 50                    | 123      | 50       | Processing fee under 37 CFR 1.17(q)                                        |          |
| 126                   | 180                   | 126      | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581                   | 40                    | 581      | 40       | Recording each patent assignment per property (times number of properties) | 40.00    |
| 146                   | 740                   | 246      | 370      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                   | 740                   | 249      | 370      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                   | 740                   | 279      | 370      | Request for Continued Examination (RCE)                                    |          |
| 169                   | 900                   | 169      | 900      | Request for expedited examination or of a design application               |          |

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$105.00)****SUBMITTED BY**

|                   |        |                                      |        |                |                |
|-------------------|--------|--------------------------------------|--------|----------------|----------------|
| Name (Print/Type) | Nan Wu | Registration No.<br>(Attorney/Agent) | 43,360 | Telephone      | (415) 836-2500 |
| Signature         |        |                                      | Date   | March 27, 2002 |                |



Attorney Docket No.: 693243-76 (UCD-1120)

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on March 27, 2002.

Ta-Tanisha L. Moore

Ta-Tanisha L. Moore

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Allan Y. Chen

Serial No: 10/075,718                          Group Art Unit: 1619

Filed: February 12, 2002                          Examiner: Unknown

Title: RADIOSENSITIZATION BY INDOLOCARBAZOLE DERIVATIVES

\* \* \*

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF UTILITY PATENT APPLICATION**

Box MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Utility Patent Application" mailed by the United States Patent and Trademark Office on March 8, 2002. The following documents are enclosed to complete the filing of the above-referenced patent application:

1. Copy of Notice to File Missing Parts (1 pg.)
2. Executed Declaration of Inventors (2 pgs)
3. Assignment Document
4. Two (2) checks totaling the amount of \$105 (one check in the amount of \$65 which represents payment of the missing parts surcharge (small entity); another in the amount of \$40 for recording the Assignment document)
5. Return Postcard

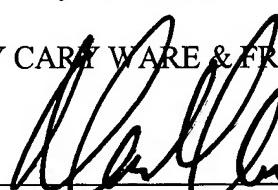
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1896.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: 3/27/02

By



*Nan Wu*

Reg. No. 43,360

Attorney for Applicant

GRAY CARY WARE & FREIDENRICH  
139 Townsend Street, Suite 400  
San Francisco, CA 94107-1922  
Telephone: (415) 836-2500

**CONFIRMATION NO. 1621**



O I P E  
APR 8 8 2002  
PATENT & TRADEMARK OFFICE

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/075,718         | 02/12/2002          | Allan Y. Chen         | 693243-76 (UCD-1120)   |

CONFIRMATION NO. 1621

FORMALITIES LETTER



\*OC000000007608514\*

29585  
GRAY CARY WARE AND FREIDENRICH  
139 TOWNSEND ST  
SAN FRANCISCO, CA 94107

Date Mailed: 03/08/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

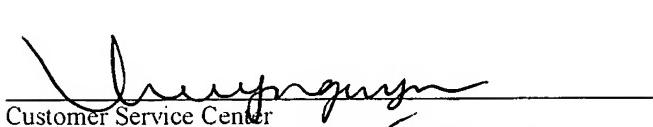
**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

65.00 OP

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04/09/2002 HH00R1

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